

#### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q91343

Kazumi DANJO, et al.

Appln. No.: 10/560,169

Group Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: December 09, 2005

For:

RADIAL SPHERICAL CRYSTALLIZATION PRODUCT, **PROCESS** FOR

PRODUCING THE SAME, AND DRY POWDER PREPARATION CONTAINING THE

CRYSTALLIZATION PRODUCT

## STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 30,951

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

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WASHINGTON OFFICE 23373

Date: March 29, 2006



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### <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/560,169

Attorney Docket No.: Q91343

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

U.S. Patent Nos. 5,707,634m, 6,576,262 and 6,221,398 are the U.S. counterparts of JP 4-500925, 2002-515324 and 11-503448.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents. The English-language version will be submitted later.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/560,169

Attorney Docket No.: Q91343

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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CUSTOMER NUMBER

Date: March 29, 2006

| Substitute for Form 1449 A & R/PTO   | Complete if Known      |                   |  |  |  |
|--|------------------------|-------------------|--|--|--|
| Substitute for Form 1449 A & B/PTO   | Application Number     | 10/560,169        |  |  |  |
| FORMATION DISCLOSURE   | Confirmation Number    | Not Yet Assigned  |  |  |  |
| MAR 2 9 2006 STATEMENT BY APPLICANT  | Filing Date            | December 09, 2005 |  |  |  |
| MAR & S. LOUS  | First Named Inventor   | Kazumi DANJO      |  |  |  |
| (use as many sheets as necessary)  | Art Unit               | Not Yet Assigned  |  |  |  |
| (and an interior and interior a | Examiner Name          | Not Yet Assigned  |  |  |  |
| Sheet 1 of 1   | Attorney Docket Number | Q91343            |  |  |  |

| U.S. PATENT DOCUMENTS |                          |                 |                                   |                  |  |
|-----------------------|--------------------------|-----------------|-----------------------------------|------------------|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |                                   | Publication Date |  |
|                       |                          | Number          | Kind Code <sup>2</sup> (if known) | MM-DD-YYYY       | Name of Patentee or Applicant of Cited Documen |
|                       |                          | US 5,707,634    | Α                                 | 01-13-1998       | Pharmacia & Upjohn Company                     |
|                       |                          | US 6,576,262    | B1                                | 06-10-2003       | Nektar Therapeutics                            |
|                       |                          | US 6,221,398    | B1                                | 04-24-2001       | Astra Aktiebolag                               |
|                       |                          | US              |                                   |                  |  |

|                       | FOREIGN PATENT DOCUMENTS |                              |             |                                   |                  |                             |                          |
|-----------------------|--------------------------|------------------------------|-------------|-----------------------------------|------------------|-----------------------------|--------------------------|
| Examiner<br>Initials* | Cite<br>No.1             | Foreign Patent Document      |             |                                   | Publication Date | Name of Patentee or         |                          |
|                       |                          | Country<br>Code <sup>3</sup> | Number⁴     | Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       | Applicant of Cited Document | Translation <sup>6</sup> |
|                       |                          | JP                           | 4-500925    | Α                                 | 02-20-1992       |                             |                          |
|                       |                          | JР                           | 2003-10672  | Α                                 | 01-14-2003       |                             | Abstract                 |
|                       |                          | JP                           | 2002-515324 | Α                                 | 05-28-2002       |                             |                          |
|                       |                          | JP                           | 11-503448   | A                                 | 03-26-1999       |                             |                          |
|                       |                          | <u> </u>                     |             |                                   |                  |                             |                          |
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|                       |                          |                              |             |                                   |                  |                             |                          |
|                       |                          |                              |             |                                   |                  |                             |                          |

| NON PATENT LITERATURE DOCUMENTS |  |  |  |  |  |  |  |
|---------------------------------|--|--|--|--|--|--|--|
| Examiner Cite Initials* No.1    |  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. |  |  |  |  |  |
|                                 |  | Peter YORK, "Strategies for particle design using supercritical fluid technologies," Reviews - Research Focus, PSTT Vol. 2, No. 11, November 1999, pp. 430-440.  |  |  |  |  |  |
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| Everying Signature                   |  |
|--------------------------------------|--|
| Examiner Signature   Date Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.